# Interactions between aminocalixarenes and nucleotides or nucleic acids $\dagger$ 

Youheng Shi and Hans-Jörg Schneider *

FR Organische Chemie, Universität des Saarlandes, D-66041 Saarbrücken, Germany

Received (in Cambridge) 6th January 1999, Accepted 20th May 1999


#### Abstract

Four calixarenes with (trimethylammonium)methyl groups at the phenyl rings in the upper rim were prepared. Association constants $K$ with mononucleotides were determined in $\mathrm{D}_{2} \mathrm{O}$ by NMR shift titration, partially also by fluorescence competition titration using ANS as dye. The complexation free energies $\Delta G$ obtained with the derivatives of the calix[4]cone (AC4c) and the calix[4]-1,3-alternate (AC4a) conformation were similar, but increased from AMP $\left(18 \pm 1 \mathrm{~kJ} \mathrm{~mol}^{-1}\right)$ to ADP $\left(20 \pm 1 \mathrm{~kJ} \mathrm{~mol}^{-1}\right)$, to ATP $\left(22 \pm 1 \mathrm{~kJ} \mathrm{~mol}^{-1}\right)$. With the calix[6] derivative (AC6) the corresponding values were $22,24,27 \mathrm{~kJ} \mathrm{~mol}^{-1}$, with the calix[8] host (AC8) $24,26,28 \mathrm{~kJ} \mathrm{~mol}^{-1}$, respectively. The large contribution of salt bridging to the complexation was obvious from the $\Delta G$ difference between adenosine and e.g. AMP (with the calix[4]cone derivative 5.6 and $17.7 \mathrm{~kJ} \mathrm{~mol}^{-1}$, respectively). Affinity differences between different nucleobases increased moderately with the size of the macrocyclic host, e.g. $\Delta \Delta G$ between AMP and TMP was 1 kJ $\operatorname{mol}^{-1}$ with calix[4]cone, $2 \mathrm{~kJ} \mathrm{~mol}^{-1}$ with calix[6], and $3 \mathrm{~kJ} \mathrm{~mol}^{-1}$ with calix[8] compounds. The results are in line with computer simulated complex structures in which the nucleobase or sugar parts are only partially inserted into the calix cavity. This agrees with the observed complexation induced NMR shifts (CIS), which are small but increase with the ring size of the host. Noticeably the CIS values are substantially larger for much weaker bound nucleosides.

Affinities of the four aminocalixarenes with double-stranded calf thymus (CT) DNA, with polydA*polydT and with polydG* polydC were characterized by $\Delta T_{\mathrm{m}}$ of the double-strand denaturation temperature and by fluorimetric assays using ethidium bromide ( $\mathrm{C}_{50}$ values). The calix[4]cone derivative AC4c shows, due to the four positive charges converging at one side, the strongest effects. They surpass spermine although this also bears four protonated ammonium groups, indicating additional binding contributions from the phenyl moieties. The larger, more flexible calix[6]- and calix[8]-derivatives AC6 and AC8 show only small affinity increases in spite of their 6 or 8 positive charges. Preliminary molecular modeling studies indicate that based on the distances between the ammonium centers only partial contact of all centers to the groove phosphates can materialize. The ligands AC4c, AC4a and AC6 exhibit a remarkable preference for DNA in comparison to RNA mimics.


## Aims and introduction

Molecular recognition of nucleotides by synthetic receptors ${ }^{1-11}$ and small ligand interactions with nucleic acids ${ }^{12,13}$ have recently received much attention. Macrocyclic polyamines, when protonated, bind strongly to nucleotides via electrostatic interactions and/or hydrogen bonding between the cationic ammonium groups of the receptor and the negatively charged nucleotide phosphate groups. ${ }^{2,4}$ Previously we used tetraazonia cyclophanes, ${ }^{11 a, b}$ aminocyclodextrins ${ }^{11 c}$ and hexaazonia cyclophanes ${ }^{11 d}$ for achieving binding constants $K$ of up to $10^{5} \mathrm{M}^{-1}$, and selectivities, e.g. between nucleobases, between $3^{\prime}$ - or $5^{\prime}$ substitution sites, and between $2^{\prime}$-oxy/deoxynucleotides with corresponding $K$ differences of up to an order of magnitude.

In the present work we explore the application of calixarenes, which to the best of our knowledge have until now not been used for nucleotide complexation or as ligands for DNA. These macrocyclic polyphenol derivatives allow the introduction of a variety of desired binding functions into molecular receptors of designed flexibility and shape. ${ }^{14-18}$ As with other synthetic host systems (see. e.g., ref. 11c) one may, in addition to the anion binding sites, introduce other sites capable of interactions with
$\dagger$ NMR data and elemental analyses as well as Tables 9-12 (containing NMR shifts, complexation-induced shifts, equilibrium constants and binding free energies) are available as supplementary data. For direct electronic access see http://www.rsc.org/suppdata/p2/1999/1797, otherwise available from BLDSC (SUPPL. NO. 57563, pp. 3) or the RSC Library. See Instructions for Authors available via the RSC web page (http://www.rsc.org/authors).
the sugar moiety and/or the nucleobase. Interactions with the nucleobase may be achieved either by stacking with aromatic parts, or by sites capable of forming complementary hydrogenbonding patterns. We have prepared calixarenes with four, six or eight permethylammonium moieties at the upper rim; the permanently charged groups provide both for water-solubility and for pH -independent anion binding sites. These aminocalixarenes ( $\mathbf{A C}$, Scheme 1) can in addition interact with the sugar and in particular with the nucleobase moieties if their geometry allows contact with other more lipophilic parts of the macro-


AC4e $\left(\mathrm{R}=\mathrm{N}^{+}\left(\mathrm{CH}_{3}\right)_{3} \mathrm{Cl}^{1}, \mathrm{R}^{2}=\mathrm{C}_{3} \mathrm{H}_{7}\right)$
AC4a ( $\left.\mathrm{R}=\mathrm{N}^{+}\left(\mathrm{CH}_{3}\right)_{3} \mathrm{Cl}^{-}, \mathrm{R}^{2}=\mathrm{CH}_{3}\right)$


AC6 $n=6$
AC8 $n=8$
Scheme 1
cycles. The larger AC derivatives also hold promise as molecular containers for selective transport e.g. to DNA or RNA of other effectors bound in the cavity. ${ }^{19}$

## Experimental

## Materials

5,11,17,23-Tetrakis(trimethylammoniomethyl)-25,26,27,28tetramethoxycalix[4]arene tetrachloride (AC4a), 5,11,17,23-tetrakis(trimethylammoniomethyl)-25,26,27,28-tetrapropoxycalix[4]arene tetrachloride (AC4c), 5,11,17,23,29,35-hexakis-(chloromethyl)-37,38,39,40,41,42-hexamethoxycalix[6]arene
(C6) and 5,11,17,23,29,35,41,47-octakis(chloromethyl)-49,50, $51,52,53,54,55,56$-octamethoxycalix[8]arene (C8) were synthesized according to the literature. ${ }^{15,16}$

AC6, AC8. In 25 ml of DMF containing $\mathbf{C 6}(0.20 \mathrm{~g})$ or $\mathbf{C 8}$ was introduced trimethylamine (gas) for 2-3 hours at room temperature. The precipitate was collected by filtration, washed with chloroform, and dried in vacuo to give 5,11,17,23,29,35-hexakis(trimethylammoniomethyl)-37,38,39,40,41,42-hexamethoxycalix[6]arene hexachloride (AC6) (yield: 35\%), and 5,11, 17,23,29,35,41,47-octakis(trimethylammoniomethyl)-49,50,51, 52,53,54,55,56-octamethoxycalix[8]arene octachloride (AC8) (yield: $20 \%$ ). All compounds decomposed before melting.

## Spectroscopy and calculations

NMR studies were performed with a Bruker AM-400 system in $\mathrm{D}_{2} \mathrm{O}$ using TMS/CCl $\mathrm{C}_{4}$ as an external standard at $298 \pm 1 \mathrm{~K}$. NMR Data and elemental analyses are given in the Supplementary Data. $\dagger$ Proton chemical shifts were reproducible to within 0.001 ppm . Binding constants and complexation-induced shift (CIS) values were determined as before ${ }^{11 b}$ from nonlinear leastsquares fits.

Fluorescence binding titration was carried out with a HITACHI F-2000 fluorescence spectrophotometer, using in competition experiments 1 -anilino-8-naphthalenesulfonate (ANS) as a fluorescence probe. After determination of the binding constants $K$ for ANS with the different calixarenes the $K$ values for nucleotides were obtained as described in the literature. ${ }^{20}$ Preliminary molecular modeling studies were performed under gas phase conditions ( $\varepsilon=2$ Debye) using QUANTA/CHARMm (version 3.3 from MSI). ${ }^{21}$

## DNA Studies

Calf thymus DNA, polydA*polydT and polydG* ${ }^{*}$ polydC were purchased from Sigma and used after sonification without further purification.

Binding experiments. Binding experiments with ethidium bromide were carried out in analogy to the literature. ${ }^{23}$ Ethidium bromide (3,8-diamino-5-ethyl-6-phenylphenanthridium bromide, $1.26 \mu \mathrm{M}$ final concentration) was dissolved in 2 ml of SHE (sodium 4-(2-hydroxyethyl)piperazine-1-ethanesulfonate) buffer (SHE buffer of ionic strength 0.01 contained 2 mM of HEPES $N^{\prime}$-(2-hydroxyethyl)piperazine- $N$-ethanesulfonic acid, $10 \mu \mathrm{M}$ of EDTA, and 9.4 mM of NaCl ). The pH was adjusted to 7.0 with NaOH . A solution of DNA was added to reach a concentration of $3.6 \mu \mathrm{M}$ in base pair. The fluorescence was recorded on a Hitachi F-2000 fluorescence spectrophotometer with emission and excitation wavelengths at 595 nm and 546 nm , respectively. Aminocalixarene aqueous solution was added to the DNA-ethidium solution in microliter portions with Eppendorf pipettes, and the fluorescence decrease was measured after each addition until more than a $50 \%$ reduction of fluorescence was observed. The $\mathrm{C}_{50}$ value, defined as the aminocalixarene concentration required to reduce the fluores-
cence of the DNA-ethidium complex to $50 \%$ was obtained from graphical evaluation of the curves. All measurements were made in triplicate. Error limits on $\mathrm{C}_{50}$ values are $\pm 10 \%$.

## Thermal denaturation measurements

Thermal denaturation measurements were conducted with a Cary 1 Bio UV-Visible spectrophotometer interfaced to a PC by following the absorption change at 260 nm as a function of temperature. The temperature was controlled by a Cary temperature controller programmed to raise the temperature at a rate of $1.0^{\circ} \mathrm{C} \mathrm{min}^{-1}$. A thermistor fixed into a reference cuvette was used to monitor the temperature. Denaturation experiments were carried out in SHE buffers $(0.01 \mathrm{M}) . T_{\mathrm{m}}$ values were determined from the first-derivative plots and were obtained at several aminocalixarene/nucleic acid phosphate ratios $r$. All measurements were made in duplicate. Error limits on $\Delta T_{\mathrm{m}}$ values are $\pm 0.5^{\circ} \mathrm{C}$.

## Results and discussion

## NMR Characterization of the compounds

The aminocalix[4]arenes AC4a and AC4c (Scheme 1), prepared according to literature procedures, ${ }^{15}$ were used as tetrahydrochloride salts, as were the larger aminocalix[6]arene (AC6) and aminocalix[8]arene (AC8), synthesized from the known ${ }^{15,16}$ calixarenes C6 and C8 by reaction with trimethylamine. It is known that in tetra- $O$-alkylcalix[4]arenes the methyl group is too small to suppress the oxygen-through-the annulus rotation. ${ }^{14 a}$ The ${ }^{1} \mathrm{H}$ NMR spectra of $\mathrm{AC4a}$ in $\mathrm{D}_{2} \mathrm{O}$ showed a $\mathrm{CH}_{2}$ singlet at 4.24 ppm and only one aromatic peak at 7.16 ppm , indicating the symmetry of the 1,3-alternate conformation. The introduction of four $n$-propyl groups, bulkier than ethyl, at the lower rim of calix[4]arenes locks the macrocycle in one of the four possible stereoisomeric configurations: cone, partial cone, 1,3-alternate, 1,2-alternate. ${ }^{14 a}$ The stereochemical result of the synthesis is highly dependent on the nature of the base, countercation, solvent and temperature. The conditions used to obtain the cone isomer AC4c here involved the use of NaH in DMF at room temperature. Two double peaks at 4.38 and 3.22 ppm were observed in the ${ }^{1} \mathrm{H}$ NMR spectrum of AC4c in $\mathrm{D}_{2} \mathrm{O}$, which confirms that only the cone isomer was present.

Due to the larger dimension of the macrocycle the calix[6]and calix[8]arenes form conformationally mobile derivatives. The ${ }^{1} \mathrm{H}$ NMR spectrum of AC6 with a multiplet for the methylene bridge $\mathrm{ArCH} \mathrm{H}_{2} \mathrm{Ar}(\delta 3.76 \mathrm{ppm})$ suggest that the conformation is partially cone. Preliminary molecular mechanics calculations with the CHARMm ${ }^{21}$ force field suggest that the cone conformation has the lowest energy. Due to the electrostatic repulsions between the permethyl ammonium groups, AC8 is conformationally more mobile and its ${ }^{1} \mathrm{H}$ NMR spectrum in $\mathrm{D}_{2} \mathrm{O}$ shows a broad singlet for the methylene bridge $\mathrm{ArCH} \mathrm{H}_{2} \operatorname{Ar}(\delta 3.76 \mathrm{ppm})$.

## Interactions with nucleosides and nucleotides

Representative ${ }^{1} \mathrm{H}$ NMR titration curves for the complex of AMP-AC6 are illustrated in Fig. 1. The ${ }^{1} \mathrm{H}$ NMR spectra of the nucleotides and nucleosides show that the proton signals of both the nucleobase and of the sugar are shifted upfield upon complexation with the cyclophane (Tables 1 to 6 and 10, see supplementary data). Complexation-induced chemical shifts (CIS values), obtained from the non-linear least square fit, vary from -0.01 ppm to -0.37 ppm shielding and indicate in all cases that the nucleotides are only partially inserted within the cavity of calixarene, in contrast to a nucleotide-cyclophane system, ${ }^{11 b}$ to a sulfonate calix[4]arene-ethanol complexes, ${ }^{17}$ and to calix[5]arene-alkylammonium complexes, ${ }^{18}$ where the guests show larger upfield shifts, typical for intracavity inclusion within the cyclophane.

Table 1 NMR Shifts $\left(\delta_{0}\right)$, complexation-induced shifts (CIS), equilibrium constants ( $K, \mathrm{M}^{-1}$ ) and binding free energy ( $-\Delta G, \mathrm{kj}_{\mathrm{mol}}{ }^{-1}$ ) of adenine derivatives with AC4a ${ }^{a}$

| Compound |  | H-8 | H-2 | H-1 | $K_{\mathrm{av}}$ and $\Delta G_{\mathrm{av}}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| AMP ${ }^{2-}$ | $\delta_{0}$ | 8.42 | 8.08 | 5.96 |  |
|  | CIS | -0.012 | -0.050 | -0.051 |  |
|  | $K \times 10^{-3}$ | $2.4 \pm 0.2$ | $1.6 \pm 0.2$ | $2.0 \pm 0.2$ | $2.0 \pm 0.2$ |
|  | $\Delta G$ | 19.2 | 18.3 | 18.8 | 18.8 |
| ADP ${ }^{3-}$ | $\delta_{0}$ | 8.34 | 8.07 | 5.95 |  |
|  |  | $-0.035$ | -0.088 | $-0.099$ |  |
|  | $K \times 10^{-3}$ | $3.1 \pm 0.3$ | $2.2 \pm 0.2$ | $2.3 \pm 0.2$ | $2.6 \pm 0.2$ |
|  | $\Delta G$ | 19.9 | 19.1 | 19.2 | 19.5 |
| ATP $^{4-}$ | $\delta_{0}$ | 8.36 | 8.08 | 5.96 |  |
|  | CIS | $-0.033$ | $-0.103$ | $-0.111$ |  |
|  | $K \times 10^{-3}$ | $4.9 \pm 0.7$ | $7.0 \pm 0.9$ | $7.1 \pm 0.9$ | $6.7 \pm 0.9$ |
|  | $\Delta G$ | 21.0 | 21.9 | 22.0 | 21.8 |

${ }^{a}$ Measured at $298 \pm 1 \mathrm{~K}$ in $\mathrm{D}_{2} \mathrm{O}$; all shifts in ppm ( $\pm 0.005$ ). $K$ is in $\mathrm{mol}^{-1}( \pm 20 \%)$, unless noted otherwise. CIS from nonlinear least-squares fit where single $K$ values are given; in other cases CIS are obtained from single measurements at selected concentrations, calculated with $K$ values from fluorescence titrations.


Fig. 1 Example of NMR shift titration (AC6 with AMP, conditions see Experimental section).


Fig. 2 QUANTA/CHARMm energy minimized structures of the complexes of AC4a-ADP and AC4a-ATP.

The binding isotherms in the NMR titrations usually gave satisfactory fits for $1: 1$ complexes (if $K \leq 2 \times 10^{4} \mathrm{M}^{-1}$ ). For binding constants above $10^{4} \mathrm{M}^{-1}$ it is difficult to obtain satisfactory binding isotherms by NMR spectroscopy as a consequence of the limited NMR sensitivity. Therefore, and as complexation did also not produce significant UV or fluorescence changes the stability constants of stronger complexes were measured mostly by competitive fluorescence spectrophotometry. The values obtained by the fluorometric competition procedure were highly reproducible (average deviation $\Delta K<10 \%$ ), and agreed within $\pm 20 \%$ with the $K$ values obtained by NMR titration, wherever such a comparison was possible.

Tables 1-6 (also Tables 9 and 10, see supplementary data) show that the binding constants of all nucleotide monophos-
phates with AC4c or AC6, or AC8 differ to some degree, but are in general of the same order. The strong electrostatic interaction between the ionic groups enforces an orientation with diminished contact between the nucleobase part and the aryl parts of the AC, resulting in less nucleobase selectivity. This is also in line with preliminary molecular mechanics calculations. It is known that each salt bridge in water is worth up to $5 \pm 1 \mathrm{~kJ}$ $\mathrm{mol}^{-1}$ for electrostatic host-guest binding if the conformations allow sufficient contact between the anion and cation. ${ }^{11 a, b, d, 22}$ By applying this value to the complexation of nucleobase monophosphate with AC4 one can conclude that only two salt bridges materialize in the complex. That strain induced upon complexation reduces the affinity is less likely: force field energy minimizations indicate that e.g. for the AC4a system the distances $d$ between $\mathrm{Me}_{3} \mathrm{~N}^{+}--{ }^{+} \mathrm{NMe}_{3}$ groups at the 1,3-position at the AMP binding site are $12.6 \AA$ before, and $12.0 \AA$ after complexation; the distances at the lower part not involved in the association remain constant at $d=12.0 \AA$.

The binding free energies $(\Delta G)$ increase by only about 2 kJ $\mathrm{mol}^{-1}$ from mono- to diphosphate, and by $3-4 \mathrm{~kJ} \mathrm{~mol}^{-1}$ from di- to triphosphate. Noticeably, $\Delta G$ for AMP increases by 3-4 $\mathrm{kJ} \mathrm{mol}^{-1}$ from $\mathbf{A C 4 c}$ to AC6, and to AC8 pointing to nucleobase interactions with the calixarene aryl residues. In line with computer aided molecular modeling the larger macrocycles allow deeper, although still incomplete insertion of the guest molecule into the cavity. The same order was also observed in the complexation free energy of ANS dye, which increases from ANS-AC4a $\left(\Delta G=19.6 \mathrm{~kJ} \mathrm{~mol}^{-1}\right)$, to ANSAC6 $\left(\Delta G=21.1 \mathrm{~kJ} \mathrm{~mol}^{-1}\right)$, and to ANS-AC8 $(\Delta G=24.7 \mathrm{~kJ}$ $\mathrm{mol}^{-1}$ ).

If one subtracts the $\Delta G$ values observed for electroneutral nucleosides from derivatives containing 2 negative charges, one obtains $5 \pm 1 \mathrm{~kJ} \mathrm{~mol}^{-1}$ for one ion pair or so-called salt bridge (Tables 1-6, 9 and 10 of the supplementary data), in gratifying agreement with earlier general values. ${ }^{11 a, b, 22}$ This value becomes smaller if the geometry does not allow optimal contact between host and guest charge with simultaneous preservation of another two anion-cation optimal contacts, as is obvious from computer aided modeling. Remarkably, the CIS values on the nucleosides in spite of the weaker complexes are larger than on the nucleotides, indicating that the strong electrostatic interaction hinders the interaction between the nucleobases and the aminocalixarenes.

Molecular modeling secures the geometric boundaries for sufficient salt bridges in the complexes. The distance between opposite $\mathrm{N}^{+}$in the 1,3-alternate conformation (AC4a) is 10 to $12 \AA$ (see above). The distance between opposite and neighbor $\mathrm{N}^{+}$groups in the cone conformation ( $\mathbf{A C 4 c}$ ) is $12 \AA$ and $9 \AA$, respectively. In $\mathbf{A C 6}$ the distance between the $\mathrm{N}^{+}$groups in $\mathrm{A} / \mathrm{D}$, in $\mathrm{A} / \mathrm{C}$, and in $\mathrm{A} / \mathrm{B}$ aryl ring position is $15 \AA, 10-14 \AA, 8 \AA$,

Table 2 NMR Shifts $\left(\delta_{0}\right)$, complexation-induced shifts (CIS), and equilibrium constants ( $K / \mathrm{M}^{-1}$ ) and binding free energy $\left(-\Delta G / \mathrm{kJ} \mathrm{mol}^{-1}\right)$ of adenine derivatives with $\mathbf{A C 4} \mathbf{c}^{a}$

| Compound |  | H-8 | H-2 | H-1 | $K_{\mathrm{av}}$ and $\Delta G_{\mathrm{av}}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| adenosine | $\delta_{0}$ | 8.13 | 8.03 | 5.86 |  |
|  | CIS | -0.27 | -0.37 | -0.22 |  |
|  | K | 9 | 10 | 9.6 | 9.6 |
|  | $\Delta G$ | 5.4 | 5.7 | 5.6 | 5.6 |
| AMP ${ }^{2-}$ | $\delta_{0}$ | 8.42 | 8.08 | 5.96 |  |
|  | CIS | -0.034 | -0.058 | -0.050 |  |
|  | $K \times 10^{-3}$ | $2.0 \pm 0.4$ | $0.92 \pm 0.1$ | $1.1 \pm 0.1$ | $1.3 \pm 0.1$ |
|  | $\Delta G$ | 18.8 | 16.9 | 17.3 | 17.7 |
| ADP ${ }^{3-}$ | $\delta_{0}$ | 8.35 | 8.08 | 5.96 |  |
|  | CIS | -0.071 | -0.080 | -0.096 |  |
|  | $K \times 10^{-3}$ | $3.4 \pm 0.8$ | $1.6 \pm 0.2$ | $3.1 \pm 0.6$ | $2.7 \pm 0.5$ |
|  | $\Delta G$ | 20.1 | 18.3 | 19.9 | $19.6$ |
| ATP ${ }^{4-}$ | $\delta_{0}$ | 8.36 | 8.08 | $\begin{array}{r} 19.9 \\ 5.96 \end{array}$ |  |
|  | CIS | -0.085 | -0.072 | -0.102 |  |
|  | $K \times 10^{-3}$ | $6.4 \pm 3.1$ | $12 \pm 5.6$ | $14 \pm 5.6$ | $11 \pm 4.8$ |
|  | $\Delta G$ | 21.7 | 23.2 | 23.6 | 23.0 |

${ }^{a}$ See footnote to Table 1.

Table 3 NMR Shifts ( $\delta_{0}$ ), complexation-induced shifts (CIS), and equilibrium constants ( $K / \mathrm{M}^{-1}$ ) and binding free energy ( $-\Delta G / \mathrm{kJ} \mathrm{mol}^{-1}$ ) of adenine derivatives with $\mathbf{A C b}^{a}$

| Compound |  | $\mathrm{H}-8$ | $\mathrm{H}-2$ | $\mathrm{H}-1^{\prime}$ | $K_{\text {av }}$ and $\Delta G_{\text {av }}$ |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  | $\mathrm{AMP}^{2-}$ | $\delta_{0}$ | 8.42 | 8.08 | 5.96 |  |
|  | CIS | -0.053 | -0.095 | -0.090 |  |  |
|  | $K \times 10^{-3}$ | $10.0 \pm 2.0$ | $5.2 \pm 0.8$ | $4.2 \pm 0.5$ | $6.5 \pm 1.1$ |  |
|  | $\mathrm{ADP}^{3-}$ | $\delta_{0}$ | 22.8 | 21.2 | 20.7 | 21.7 |
|  | CIS | 8.36 | 8.08 | 5.96 |  |  |
|  | $K \times 10^{-3}$ | -0.052 | $6.6 \pm 1.9$ | -0.098 | -0.150 |  |
|  | $\Delta G$ | 21.8 | 24.2 | $14 \pm 3.6$ | 23.6 | $12.9 \pm 3.8$ |
| ATP $^{4-}$ | $\delta_{0}$ | 8.36 | 8.08 | 5.96 |  |  |
|  | CIS | -0.063 | -0.115 | -0.132 |  |  |
|  | $K \times 10^{-3}$ | $60 \pm 30$ | $63 \pm 30$ | $72 \pm 32$ | $65 \pm 31$ |  |
|  | $\Delta G$ | 27.2 | 27.4 | 27.7 | 27.4 |  |

${ }^{a}$ See footnote to Table 1.

Table 4 NMR Shifts ( $\delta_{0}$ ), complexation-induced shifts (CIS), and equilibrium constants ( $K / \mathrm{M}^{-1}$ ) and binding free energy ( $-\Delta G / \mathrm{kJ} \mathrm{mol}^{-1}$ ) of adenine derivatives with $\mathbf{A C 8}{ }^{a}$

| Compound |  | $\mathrm{H}-8$ | $\mathrm{H}-2$ | $\mathrm{H}-1^{\prime}$ | $K_{\mathrm{av}}$ and $\Delta G_{\mathrm{av}}$ |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  | $\mathrm{AMP}^{2-}$ | $\delta_{0}$ | 8.42 | 8.08 | 5.96 |  |
|  |  | CIS | -0.042 | -0.10 | -0.084 |  |
|  | $K \times 10^{-3}$ | $15 \pm 5$ | $11 \pm 4$ | $13 \pm 2.4$ | $13 \pm 4$ |  |
|  | $\mathrm{ADP}^{3-}$ | $\Delta G$ | 23.8 | 23.0 | 23.4 | 23.4 |
|  | $\delta_{0}$ | 8.36 | 8.08 | 5.96 |  |  |
|  | CIS | -0.049 | -0.084 | -0.140 |  |  |
|  | $K \times 10^{-3}$ | $43 \pm 20$ | $34 \pm 14$ | $45 \pm 15$ | $40 \pm 15$ |  |
| $\mathrm{ATP}^{4-}$ | $\Delta G$ | 26.4 | 25.8 | 26.5 | 26.1 |  |
|  | $\delta_{0}$ | 8.36 | 8.08 | 5.96 |  |  |
|  | CIS | -0.137 | -0.137 | -0.137 |  |  |
|  | $K \times 10^{-3}$ | $76 \pm 34$ | $55 \pm 24$ | $68 \pm 30$ | $66 \pm 30$ |  |
|  | $\Delta G$ | 27.8 | 27.0 | 27.5 | 27.5 |  |

${ }^{a}$ See footnote to Table 1.
respectively. In AC8 these distances are 18 to $20 \AA(\mathrm{~A} / \mathrm{D}), 12$ to $18 \AA(\mathrm{~A} / \mathrm{C})$; and 7 to $9 \AA(\mathrm{~A} / \mathrm{B})$, respectively. The computer simulations suggest that in the complexes of ADP and ATP with aminocalixarenes the small increase of $\Delta G$ is the consequence of the rather poor geometric fit and the nonideal contact between the third (or the fourth) anion and cation in this case. Comparison of AC4a or AC4c with AC6 and AC8 in their association behavior towards the AMP clearly indicate that van der Waals effects contribute significantly. The binding increase generated by the nucleobase finds its explanation in the possible approach of this nucleobase to the $\mathrm{N}^{+}$atom of the larger aminocalixarenes.

## Interactions with DNA

The binding of the new aminocalixarenes must be seen in the context of polyamines, which usually show a rather linear dependence of binding affinity to double-stranded DNA on the number of positive charges in the ligand. ${ }^{23}$ This can be rationalized by the number of salt bridges between the nitrogen centers and the nucleotide phosphate groups, with an average value of $5 \mathrm{~kJ} \mathrm{~mol}^{-1}$ for one ion pair. ${ }^{11 a, b, 22}$ Due to counterion and salt effects, ${ }^{12 a}$ respectively, this value was recently ${ }^{24}$ found to be as large as $8 \mathrm{~kJ} \mathrm{~mol}^{-1}$ if extrapolated to zero ionic strength. The presence of other structural fragments such as aromatic

Table 5 NMR Shifts ( $\delta_{0}$ ), complexation-induced shifts (CIS), and equilibrium constants ( $K / \mathrm{M}^{-1}$ ) and binding free energy ( $\Delta G / \mathrm{kJ} \mathrm{mol}^{-1}$ ) of selected nucleotides with AC4a ${ }^{a}$

| Compound |  | H-5 | H-6 | H-8 | $\mathrm{CH}_{3}$ | H-1 ${ }^{\prime}$ | H-2' | H-3' | H-4' | H-5' | $\begin{aligned} & K_{\mathrm{av}} \text { and } \\ & \Delta G_{\mathrm{av}} \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| GMP ${ }^{2-}$ | $\delta_{0}$ |  |  | 8.00 |  | 5.75 |  | 4.30 | 4.13 | 3.82 |  |
|  | CIS |  |  | -0.01 |  | -0.052 |  |  |  |  |  |
|  | $K \times 10^{-3}$ |  |  |  |  | $0.8 \pm 0.08$ |  |  |  |  | $0.8 \pm 0.08$ |
|  | $\Delta G$ |  |  |  |  | 16.5 |  |  |  |  | 16.5 |
| UMP ${ }^{2-}$ | $\delta_{0}$ | 7.94 | 5.82 |  |  | 5.80 | 4.24 | 4.17 | 4.07 | 3.83 |  |
|  | CIS | -0.02 | -0.030 |  |  | -0.021 |  |  |  |  |  |
|  | $K \times 10^{-3}$ | $0.63 \pm 0.1$ | $0.85 \pm 0.1$ |  |  | $1.1 \pm 0.1$ |  |  |  |  | $0.85 \pm 0.1$ |
|  | $\Delta G$ | 16.0 | 16.7 |  |  | 17.3 |  |  |  |  | 16.7 |
| CMP ${ }^{2-}$ | $\delta_{0}$ | 5.95 | 7.92 |  |  | 5.82 | 4.16 | 4.16 | 4.06 | 3.86 |  |
|  | CIS | $-0.032$ | -0.031 |  |  | -0.040 |  |  |  |  |  |
|  | $K \times 10^{-3}$ | $0.73 \pm 0.0$ | $0.62 \pm 0.0$ |  |  | $0.73 \pm 0.0$ |  |  |  |  | $0.70 \pm 0.07$ |
|  |  | 8 | 6 |  |  | 6 |  |  |  |  |  |
|  | $\Delta G$ | 16.3 | 15.9 |  |  | 16.3 |  |  |  |  | 16.2 |
| TMP ${ }^{2-}$ | $\delta_{0}$ |  | 7.62 |  |  | 6.16 |  | 4.39 | 3.96 | 3.80 |  |
|  | CIS |  | -0.026 |  | -0.061 | -0.090 |  |  |  | -0.020 |  |
|  | $K \times 10^{-3}$ |  | $0.42 \pm 0.1$ |  | $0.35 \pm 0.0$ | $0.35 \pm 0.0$ |  |  |  | $0.72 \pm 0.1$ | $0.37 \pm 0.07$ |
|  |  |  |  |  | 4 | 4 |  |  |  | 5 |  |
|  | $\Delta G$ |  | 15.0 |  | 14.5 | 14.5 |  |  |  | 16.2 | 15.0 |

${ }^{a}$ See footnote to Table 1. ${ }^{b}$ CIS and $K$ values not accessible due to too small shifts or to masked signals. ${ }^{c}$ Signal masked by HDO peak. GMP = guanosine $5^{\prime}$-phosphate, UMP = uridine $5^{\prime}$-phosphate, $\mathrm{CMP}=$ cytidine $5^{\prime}$-phosphate, $\mathrm{TMP}=$ thymidine $5^{\prime}$-phosphate.

Table 6 NMR Shifts ( $\delta_{0}$ ), complexation-induced shifts (CIS), and equilibrium constants $\left(K / \mathrm{M}^{-1}\right)$ and binding free energy $\left(\Delta G / \mathrm{kJ} \mathrm{mol}^{-1}\right)$ of selected nucleotides with AC4c ${ }^{a}$

${ }^{a}$ See footnotes to Table 2.

Table 7 Aminocalixarene affinity to double-stranded DNA, RNA and DNA model polymers; $\mathrm{C}_{50}(\mu \mathrm{M})$ values with ethidium bromide

|  | Charge in solution <br> $(\mathrm{pH}=7.0)$ | Poly(dA-dT) | CT-DNA | Poly(dG-dC) | Poly[A]-poly[U] |
| :--- | :--- | :--- | :--- | :--- | :--- |
| AC4a | +4 | 4.8 | 7.3 | 5.7 | 54.1 |
| AC4c | +4 | 0.56 | 0.53 | 1.3 | 21.0 |
| AC6 | +6 | 0.28 | 0.32 | 0.41 | 37.4 |
| AC8 | +8 | 0.48 | 0.49 | 0.60 | 4.2 |
| Spermine | +4 | 1.0 | 0.80 | 1.0 | 0.40 |

Concentration of ethidium bromide $1.26 \mu \mathrm{M}$; final concentration of DNA $3.6 \mu \mathrm{M}$.
moieties in the ligands can lead to substantial variations of affinity and selectivity towards nucleic acids, ${ }^{12}$ the latter usually being low with simple aliphatic polyamines. ${ }^{23 a}$ It was of particular interest to see whether the larger aminocalixarenes can also generate conformational changes of double-stranded nucleic acids as found with some other macrocyclic polyamines. ${ }^{25}$

In the present first exploration we evaluated interactions between double-stranded (ds) nucleic acids and the four calix-
arene derivatives, of which $\mathbf{A C 4 c}$ and $\mathbf{A C 4 a}$ bear four positive charges, however, in quite different and relatively rigid orientations, whereas AC6 and AC8 have 6 or 8 charges and are relatively flexible. The calixarenes were again used as permethylammonium derivatives, with the advantage of pH -independent associations. It has been shown earlier ${ }^{23 b}$ that affinities with permethylated polyammonium compounds towards ds-DNA differ little from their protonated analogs, in line with the pre-

Table 8 Effect of aminocalixarenes on CT-DNA melting values ( $\Delta T_{\mathrm{m}}$ )

|  | Ratio $^{a}$ | $\Delta T_{\mathrm{m}}{ }^{\circ}{ }^{\circ} \mathrm{C}$ |
| :---: | :--- | ---: |
| $\mathbf{A C 4 a}^{\boldsymbol{b}}$ | 0.05 | +1.9 |
|  | 0.1 | +11.5 |
| $\mathbf{A C 4 c}$ | 0.2 | +19.8 |
|  | 0.05 | +7.0 |
|  | 0.1 | +20.0 |
| $\mathbf{A C 6}^{\boldsymbol{c}}$ | 0.2 | +22.5 |
|  | 0.05 | +5.2 |
| $\mathbf{A C 8}^{b}$ | 0.1 | +8.5 |
|  | 0.05 | +0.2 |
|  | 0.1 | +2.2 |
| Spermine | 0.2 | +18.5 |
|  | 0.1 | +14.0 |

${ }^{a}$ Ratio of aminocalixarene to nucleobase. ${ }^{b}$ Precipitation with higher ratio (0.3). ${ }^{c}$ Precipitation with higher ratio (0.2).


Fig. 3 A typical thermal denaturation curves in SHE buffer for CT-DNA ( $)$, and its complexes with spermine ( $\boldsymbol{\square}$ ) and AC4c ( $\mathbf{A}$ ) at a ratio of 0.1 ligand per nucleobase/phosphate.
dominating electrostatic interaction mechanism. Affinities to ds nucleic acids were explored with thermal melting studies, which characterize the interaction by an increase of $\Delta T_{\mathrm{m}}$ of the melting temperature (Fig. 3, Table 11), and by the fluorometric ethidium bromide assay (Table 8) also used before for polyamine-DNA interactions. ${ }^{23}$ Here the concentration of ligand necessary for a reduction of the original fluorescence of the intercalated ethidium bromide EB by $50 \%$, the so-called $\mathrm{C}_{50}$ value, is taken as a measure of the ligand affinity. Comparison of $\Delta T_{\mathrm{m}}$ and in particular of the $\mathrm{C}_{50}$ values between the ligands $\mathbf{A C 4 c}$ and AC4a indicates a much larger affinity of AC4c, in spite of the same number of charges. Only in the cone conformer AC4c are the four charges located at one side and thus can interact simultaneously with the groove phosphates. The $\Delta T_{\mathrm{m}}$ and the $1 / \mathrm{C}_{50}$ values of $\mathbf{A C 4} \mathbf{c}$ are higher than those observed with the equally charged aliphatic spermine (Tables 7,8 ), indicating also other binding contributions from the aromatic moieties. The larger calixarenes AC6 and AC8 show in spite of their larger number of positive charges only a very moderate affinity increase, also in comparison to spermine which has only four charges.

Preliminary molecular modeling with CHARMm, using an idealized B-DNA conformation as obtained from the QUANTA helix builder and energy minimized structures for the calixarenes, gives some insight into the geometric boundaries for contact ion pairs in the complexes. The distance between the phosphate in the B-DNA is $7 \AA$ or $11 \AA$, respectively. The distance between opposite $\mathrm{N}^{+}$atoms in the alternate conformer AC4a is 10 to $12 \AA$, which could allow formation of up to six salt bridges with the B-form of DNA. In the cone conformation AC4c the distance is $12 \AA$ and $9 \AA$ between
opposite and neighbor $\mathrm{N}^{+}$atoms, respectively. Up to eight salt bridges with the B-form of DNA would be possible here. The compounds allow not only tight ion pairing with an essentially undistorted double-strand, but also some contacts of phenyl groups protruding into the groove. These interactions may explain the (relatively small) selectivities found between the different palindromic sequences studied (Table 7), with a general small preference for polydA*polydT in comparison to poly$\mathrm{dG}^{*}$ polydC. The most dramatic difference is seen with the RNA-mimic polyA*polyU, which with the calix[4] and calix[6] compounds shows much less affinity compared to DNA or DNA mimics (Table 7); this is in sharp contrast to spermine and also AC8, where there is much less discrimination. In AC6 the distance between the $\mathrm{N}^{+}$groups in the $\mathrm{A} / \mathrm{D}$, in the $\mathrm{A} / \mathrm{C}$, and in the $\mathrm{A} / \mathrm{B}$ aryl ring positions is $15 \AA, 10-14 \AA$, and $8 \AA$, respectively. The simulations show only eight possible salt bridges to the DNA here. In AC8 these distances are 18 to $20 \AA(\mathrm{~A} / \mathrm{D}), 12$ to $18 \AA(\mathrm{~A} / \mathrm{C})$; and 7 to $9 \AA(\mathrm{~A} / \mathrm{B})$, respectively, with again eight possible salt bridges.
Solubility problems limited any detailed NMR analyses. The ${ }^{1} \mathrm{H}$ NMR spectra of aminocalixarenes such as AC4c shows (in $2 \times 10^{-4} \mathrm{M}$ concentration) with CT-DNA in concentrations of up to $1 \times 10^{-3} \mathrm{M}$ no appreciable line broadening ( $<5 \mathrm{~Hz}$ ), which is in contrast to even weak intercalators where one finds consistently broadening of at least $25 \mathrm{~Hz} .{ }^{26}$ This excludes that a phenyl group intercalates into the DNA; in line with this there were no significant shift changes.

## Conclusion

The presence of up to eight permethylammonium groups in the corresponding calixarenes allows us to bind nucleotides in water with constants up to $7 \times 10^{4} \mathrm{M}^{-1}$. With the calix[4] derivative a similar or even slightly increased affinity is observed with the 1,3-alternate conformation AC4a in comparison to the cone compound $\mathbf{A C 4 c}$, although the latter has all four ammonium groups converging at one site. These, however, cannot be in simultaneous contact to the guest phosphate. The larger macrocycles AC6 and AC8 show only a moderate increase in affinity, and also an only moderately increased nucleobase discrimination. These observations agree with structures obtained by preliminary computer aided molecular modeling, showing that in all complexes the nucleobase and most of the sugar part are only partially enclosed in the calixarene cavity, but more so with the larger macrocycles. In line with this the observed NMR CIS values on the $\mathrm{H}-2$ of AMP increase from 0.05 to $0.10 \mathrm{ppm}, \mathrm{H}-1^{\prime}$ from 0.05 to 0.09 ppm ; the $\mathrm{H}-1^{\prime}$ shift of ADP increases from 0.09 to 0.14 ppm .

The interactions with DNA are again dominated by ion pairing and stabilize the double-strands, in contrast to some other macrocyclic polyamines where one found destabilization or base flipping. The observed small affinity differences and in particular the large NMR shielding effects seen with a nucleoside in spite of its much weaker binding indicate additional van der Waals-type binding contributions. The strong preference of the three calixarene derivatives AC4c, AC4a and AC6 for DNA in comparison to RNA contrasts sharply with simple ligands such as spermine and may hold promise for biomedical applications.

## Acknowledgements

This work was supported by the Deutsche Forschungsgemeinschaft, Bonn and the Fonds der Chemischen Industrie, Frankfurt. Youheng Shi thanks the Alexander von Humboldt Stiftung for a fellowship.

## References

1 C. Seel, A. Galan and J. de Mendoza, Top. Curr. Chem., 1995, 175, 101.

2 (a) S. Claude, J.-M. Lehn, F. Schmidt and J.-P. Vigneron, J. Chem. Soc., Chem. Commun., 1991, 1182; (b) M. Dhaenens, J.-M. Lehn and J.-P. Vigneron, J. Chem. Soc., Perkin Trans. 2, 1993, 1379.
3 P. Cudic, M. Zinic, V. Tomisic, V. Simeon, J.-P. Vigneron and J.-M. Lehn, J. Chem. Soc., Chem. Commun., 1995, 1073.

4 (a) H. Fenniri, M. W. Hosseini and J.-M. Lehn, Helv. Chim. Acta, 1997, 80, 786 and references therein; (b) W. M. Hosseini, A. J. Blacker and J.-M. Lehn, J. Am. Chem. Soc., 1990, 112, 3896.
5 J. A. Aguilar, E. Garcia-Espana, J. A. Guerrero, S. V. Luis, J. M. Llinares, J. F. Miravet, J. A. Ramirez and C. Soriano, J Chem. Soc., Chem. Commun., 1995, 2237.
6 M C. Rotger, J. F. Gonzalez, P. Ballester, P. M. Deya and A. Costa, J. Org. Chem., 1994, 59, 4501.

7 F. M. Menger and K. K. Catlin, Angew. Chem., 1995, 107, 2330.
8 S. M. Lacy, D. M. Rudkevich, W. Verboom and D. N. Reinhoudt, Tetrahedron Lett., 1994, 35, 5953.
9 V. Kral, A. Andrievsky and J. L. Sessler, J. Chem. Soc., Chem. Commun., 1995, 2349.
10 J. E. Kickham, S. J. Leob and S. L. Murphy, J. Am. Chem. Soc., 1993, 115, 7031.
11 (a) H.-J. Schneider and I. Theis, Angew. Chem., 1989, 101, 757, Angew. Chem., Int. Ed. Engl., 1989, 28, 753; (b) H.-J. Schneider, T. Blatter, B. Palm, U. Pfingstag, V. Rüdiger and I. Theis, J. Am. Soc. Chem., 1992, 114, 7704; (c) A. V. Eliseev and H.-J. Schneider, J. Am. Soc. Chem., 1994, 116, 6081; (d) K. G. Ragunathan and H.-J. Schneider, J. Chem. Soc., Perkin Trans. 2, 1996, 2597 and references cited therein.
12 (a) W. D. Wilson, Nucleic Acids in Chemistry and Biology, Eds. G. M. Blackburn and M. J. Gait, Oxford University Press, New York, 1996, p. 329 ff ; (b) D. S. Johnson and D. L. Boger, Comprehensive Supramolecular Chemistry, Vol. 4, Ed. Y. Murakami, Pergamon, 1996; (c) J. W. Lown, Anti-Cancer Drug Des., 1988, 3, 25; (d) S. Neidle and T. C. Jenkins, Mol. Des. Model., Concepts Appl. Part B, 1991, 203, 433.
13 J. Mountzouris and L. Hurley Small-Molecule-DNA Interaction Bioorganic Chemistry: Nucleic Acids, Ed. S. Hecht, Oxford University Press, ch. 10, 1996.

14 (a) C. D. Gutsche, Calixarenes, Royal Society of Chemistry, Cambridge, 1989; (b) A. Pochini, R. Ungaro, Comprehensive Supramolecular Chemistry, Vol. 2, p. 103, Eds. F. Vögtle and J.-M. Lehn, 1996; (c) A. Ikeda and S. Shinkai, Chem. Rev., 1997, 97, 1713; (d) J. Vicent and V. Böhmer, (Eds.) Calixarenes, Kluwer Dordrecht, 1990.

15 T. Nagassaki, K. Sisido, T. Arimura and S. Shinkai, Tetrahedron, 1992, 48, 797.
16 M. Almi, A. Arduini, A. Casnati, A. Pochini and R. Ungaro, Tetrahedron, 1989, 45, 2177.
17 G. Arena, A. Casnati, A. Contino, D. Sciotto and R. Ungaro, Tetrahedron Lett., 1997, 38, 4685.
18 F. Arnaud-Neu, S. Fuangswasdi, A. Notti, S. Pappalardo and M. F. Parisi, Angew. Chem., Int. Ed., 1998, 37, 112.
19 Y. Shi and H.-J. Schneider, unpublished results.
20 K. A. Connors, Binding Constants, John Wiley \& Sons, New York, 1987 p. 175 f.
21 B. R. Brooks, R. E. Bruccoleri, B. D. Olafson, D. J. States, S. Swaminathan and M. Karplus, J. Comput. Chem., 1983, 4, 187; L. Brooks and M. Karplus, Methods Enzymol., 1986, 127, 369; A. T. Brünger and M. Karplus, Acc. Chem. Res., 1991, 24, 54.
22 H.-J. Schneider, Chem. Soc. Rev., 1994, 22, 227.
23 (a) K. D. Stewart and T. A. Gray, J. Phys. Org. Chem., 1992, 5, 461; (b) H.-J. Schneider and T. Blatter, Angew. Chem., 1992, 104, 1244; Angew. Chem., Int. Ed. Engl., 1992, 31, 1207.
24 A. Hossain and H.-J. Schneider, Chem. Eur. J., 1999, 5, 1284.
25 (a) M. Fernandez-Saiz, H.-J. Schneider, J. Sartorius and W. D. Wilson, J. Am. Chem. Soc., 1996, 118, 4739; (b) Ke Li, M. Fernandez-Saiz, C. T. Rigl, A. Kumar, K. G. Ragunathan, A. W. C. McConnaughie, D. W. Boykin, H.-J. Schneider and W. D. Wilson, Bioorg. Med. Chem.; Symp. Issue, Strategies for RNA Recognition, Ed. S. Hecht, 1997.
26 (a) S. Chandrasekaran, S. Kusuma, D. W. Boykin and W. D. Wilson, Magn. Reson. Chem., 1986, 24, 630; (b) J. Sartorius and H.-J. Schneider, FEBS Lett., 1995, 374, 387.

Paper 9/00204I

